



Applicant: John FRANGIONI et al.

Art Unit: 3736

Appln. No.: 10/772,424

Examiner:

Filed

: February 6, 2004

Title

: MATERIALS AND METHODS FOR NEAR-INFRARED AND INFRARED

INTRAVASCULAR IMAGING

United States Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicants, through the undersigned attorney, submit this Supplemental Information Disclosure Statement along with the references listed on the attached form PTO-1449.

No fees are believed to be due. Please apply any charges or credits to Deposit Account No. 19-4293.

Respectfully submitted,

Harold H. Fox

Reg. No. 41,498

Steptoe & Johnson LLP 1330 Connecticut Avenue, NW Washington, DC 20036-1795 Phone: 202-429-3000

Fax: 202-429-3902

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14952.0320	Application No. 10/772,424
Information Disclosure Statement by Applicant		Applicant John FRANGIONI, et al.	
(Use several sh	eets if necessary) OCT 1 & 2005	Filing Date February 6, 2004	Group Art Unit 3736
	FRAT TRAINING		

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner				
Initial	Desig. ID	Document Confirmation of Document 27 O November 27		
	١	Ekimov, A.I. et al., "Quantum Confined Atoms of Doped ZnO Nanocrystals,"		
	AA	Phys. Stat. Sol (b) 229, No. 2, 897-901 (2002).		
		Ekimov, A.I. et al., "Spin-flip and acoustic-phonon Raman scattering in CdS		
	AB	nanocrystals," Physical Review B, Vol. 58, No. 4, 15 (July 1998-II), 2077-2087		
	AC	Ekimov, A.I. et al., "CdS nanocrystal growth in thin silica films: evolution of size distribution function," <i>Journal of Crystal Growth</i> 184/185 (1998) 360-364.		
		Ekimov, A.I. et al., "Dynamics of excitons in CuBr nanocrystals: Spectral-hole		
		burning and transient four-wave-mixing measurements," <i>Physical Review B</i> , Vol.		
	AD	57, No. 3, 15 January 1998-I, 1774-1783.		
		Ekimov, A.I. et al., "Size-selective resonant Raman scattering in CdS doped		
	AE	glasses," Physical Review B, Vol. 57, No. 1, 1 January 1998-I, 341-346.		
		Ekimov, A.I. et al., "Growth and optical properties of semiconductor nanocrystals		
	AF	in a glass matrix," Journal of Luminescence 70 (1996) 1-20.		
		Ekimov, A.I. et al., "Size dependence of acoustic and optical vibrational modes of		
		CdSe nanocrystals in glasses," Journal of Non-Crystalline Solids 197 (1996) 238		
	AG	246.		
		Ekimov, A.I. et al., "Subpicosecond dynamics of confined excitons in CuCl		
	AH	nanocrystals," <i>Materials Science and Engineering</i> A217/218 (1996) 167-170.		
		Ekimov, A.I. et al., "Enhancement of electron-hole exchange interaction in CdSe		
		nanocrystals; A quantum confinement effect," <i>Physical Review B</i> , Vol. 53, No. 3,		
	AI	15 January 1996-I, 1336-1342.		
		Ekimov, A.I. et al., "Subpicosecond dynamics of confined excitons and optical		
	nonlinearities of CuCl quantum dots," Journal of Luminescence 66 & 67 (19			
	AJ	406-409.		
		Ekimov, A.I. et al., "Size-dependent Electron-Hole Exchange Interaction in CdSe		
	AK	Quantum Dots, <i>Il Nuovo Cimento</i> ," Vol. 17, Nos. 11-12, (1995) 1407-1412.		
		Ekimov, A.I. et al., "Polaron and Exciton-Phonon Complexes in CuCl		
	AL	Nanocrystals," <i>Physical Review Letters</i> , Vol. 74, No. 9, 27 February 1995, p.1645.		
		Ekimov, A.I. et al., "Growth of CdSe nanocrystals in ion-implanted SiO ₂ films,"		
	AM	Journal of Crystal Growth 151 (1995) 38-45.		
		Ekimov, A.I. et al., "Effects of Resonance on Low-Frequency Raman Scattering		
		From Semiconductor Nanocrystals," Radiation Effects and Defects in Solids, 1995,		
	AN	Vol. 137, pp-45-50.		
	1111	Ekimov, A.I. et al., "Optical Properties of Oxide Glasses Doped by Semiconductor		
	AO	Nanocrystals," Radiation Effects and Defects in Solids, 1995, Vol. 134, pp-11-22.		
<u> </u>		1		

Examiner Signature	Date Considered			
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with				
next communication to applicant.				

Substitute Form (Modified)	m PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14952.0320	Application No. 10/772,424	
Information Disclosure Statement by Applicant		Applicant John FRANGIONI, et al.			
	(Use severa	al sheets if necessary)	Filing Date	Group Art Unit	
(37 CFR §1.98	3(b))		February 6, 2004	3736	
	AP	Ekimov, A.I. et al., "Enhancement of Exciton Exchange Interaction by Quantum Confinement in CdSe Nanocrystals," <i>Jpn. J. Appl. Phys</i> , Vol. 34, 12-14 (1994).			
	AQ	Ekimov, A.I. et al., "Growth of CdS nanocrystals in silicate glasses and in thin SiC films in the Initial states of the phase separation of a solid solution," Semiconductors, 28 (5), May 1994, 486-493.		¹ 2	
	AR	Ekimov, A.I. et al., "Interface effects on the properties of confined excitons in CuC microcrystals," Journal of Luminescence 60 & 61 (1994) 396-399.		11	
	AS	Ekimov, A. I., "Surface Recombination of Nonequilibrium Electron-Hole Plasma in Laser-Modified Semiconductor-Doped Glasses," <i>Solid State Communications</i> , Vol. 87, No. 6, 577-580 (1993).			
	AT	Ekimov, A I. "Dynamics of Nonlinear Optical Response of CuBr-Doped Glasses," Superlattices and Microstructures, Vol. 3, No. 2, 199-202 (1993).			
	AU	Ekimov, A. I., "Absorportion and intensity-dependent photoluminescence measurements on CdSe quantum dots: assignment of the first electronic transitions," <i>Journal of the Optical Society of America</i> , Vol. 10, Nos. 1-12, 100-107 (1992).			7
	AV	Ekimov, A.I. et al. "Preparation and investigation of SIO ₂ films activated by CdS semiconductor nanocrystals," <i>Soviet Physics Semiconductors</i> , Vol. 26, 57-59 (1992).			
	AW	Ekimov, A.I. et al. "Generation of reflected second harmonic at semiconductor quantum dots," <i>JETP Letters</i> , Vol. 55, No. 8, 435-439 (1992).			
	AX	Ekimov, A.I. et al. "Dimensional Effects in Luminescence Spectra of Zero-Dimensional Semiconductor Structures," Bulletin of the Russian Academy of Sciences, Vol. 56, No. 2, pp. 154-157, February, 1992.			
	AY	Ekimov, A.I. et al., "Fast sw with CdS microcrystals," Son	ritching of the transmission		d
	BA	Ekimov, A.I. et al., "Resonar Polar Phonon Coupling in Se Communications, Vol. 78, N	miconductor Quantum Mic		
	BB	Ekimov, A.I. et al., "Optics of Physica Polonica A," Vol. 79	9 (1991), No. 1. pp. 5-14.		
	ВС	Ekimov, A.I. et al., "Optical Properties of Semiconductor Quantum Dots in Glass Matrix," <i>Physica Scripta</i> . Vol. T39, 217-222 (1991).			
	BD	Ekimov, A.I. et al. "Rapid Pr Glasses," Superlattices and M		_	
	BE	Ekimov, A.I. et al., "Auger in matrix," Journal of Lumines			
	BF	Ekimov, A.I. et al., "Time-R State Communications, Vol.			

Examiner Signature	Date Considered		
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Substitute Form (Modified)	PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14952.0320	Application No. 10/772,424
Information Disclosure Statement by Applicant		Applicant John FRANGIONI, et al.		
(27 CED \$1 00/b		al sheets if necessary)	Filing Date February 6, 2004	Group Art Unit 3736
(37 CFR §1.98(b	BG Ekimov, A.I. et al., "Quantum-Size Stark Effect in Semiconductor Microcrysta Journal of Luminescence 46 (1990) 97-100 North-Holland.		iconductor Microcrystals,"	
	ВН	Ekimov, A.I. et al., "Spectra and Decay Kinetics of Radiative Recombination in CdS Microcrystals," Journal of Luminescence 46 (1990) 83-95 North-Holland.		
	BI	Ekimov, A.I. et al., "Influence of high hydrostatic pressures on the exciton spectrum of CdS microcrystals in glass," Sov. Phys. Semicond. 23(9), September 1989, pp. 965-66.		
	BJ	Ekimov, A.I. et al., "Photoluminescence of quasizero-dimensional semiconductor structures," Sov. Phys. Solid State 31(8), August 1989, pp. 1385-93.		
	BK	Ekimov, A.I. et al., "Photoionization of semiconducting microcrystals in glass," Sov. Phys. Solid State 31(1), January 1989, pp. 149-151.		
	BL	Ekimov, A.I. et al., "Donor-like Exciton in Zero-Dimension Semiconductor Structures," Solid State Communications, Vol. 69, No. 5, pp. 565-568, 1989.		
	BM	Ekimov, A.I. et al., "Nonlinear Optics of Semiconductor-Doped Glasses," Phys. Stat. Sol. (b) 150, (1988) pp. 627-633.		
	BN	Ekimov, A.I. et al., "Nonlinear optical properties of semiconductor microcrystals," <i>JETP Lett.</i> , Vol. 46, No. 10, 25 November 1987 pp. 435-439.		
	ВО	Ekimov, A.I. et al., "Quantization of the energy spectrum of holes in the adiabatic potential of the electron," <i>JETP Lett.</i> , Vol. 43, No. 6, 25 March 1986, pp. 376-379.		
	BP	Ekimov, A.I. et al., "Quantum Size Effect in Semiconductor Microcrystals," Solid State Communications, Vol. 56, No. 11, pp. 921-924, 1985.		
	BQ	Ekimov, A.I. <i>et al.</i> , "Size quantization of the electron energy spectrum in a microscopic semiconductor crystal," <i>JETP Lett.</i> , Vol. 40, No. 8, 25 October 1984, pp. 1136-1139.		
	BR	Ekimov, A.I. et al., "Quantum size effect in the optical spectra of semiconductor microcrystals," Sov. Phys. Semicond. 16(7), July 1982, pp. 775-778.		
	BS	Ekimov, A.I. <i>et al.</i> , "Quantur semiconductor crystals," <i>JET</i> 349.	m size effect in three-dimental TP Lett, Vol. 34, No. 6, 20	nsional microscopic September 1981, pp. 345-
	ВТ	Ekimov, A.I. <i>et al.</i> , "Oscillat variable gap semiconductor i 528 (1977).		

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with